2124

PTO/SB/21 (08-03)

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Approved for use through 8/30/2003. OMB 0651-0031

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Application Number 10/005,740 TRANSMITTAL November 6, 2001 Filing Date **FORM** CHANG, Robert C. et al. First Named Inventor 2124 be used for all correspondence after initial filing) Group Art Unit Unassigned **Examiner Name** Attorney Docket Number Total Number of Pages in This Submission SANDP011 Technology Center 2100 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Group Appeal Communication to Board of Licensing-related Papers Fee Attached Appeals and Interferences Appeal Communication to Group Petition Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Terminal Disclaimer Return Postcard Extension of Time Request Other Enclosure(s) (please Request for Refund identify below): CD, Number of CD(s) Express Abandonment Request The Commissioner is authorized to charge any additional fees to Remarks Deposit Account 50-1652. Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT RITTER, LANG & KAPLAN LLP Firm and Reg. No. 41,336 Individual name Peggy A. Su, Signature April 19, 2004 Date **CERTIFICATE OF MAILING** hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown: April 19, 2004 Typed or printed name Kimberly Melvin Date April 19, 2004 Signature

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Attorney Docket No. SANDP011
PATENT

Signed:

Kimberly Melvin

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

CHANG, Robert C. et al.

Application No.: 10/005,740

Filed: November 6, 2001

For: IMPLEMENTATION OF IN SYSTEM PROGRAMMING

TO UPDATE FIRMWARE ON MEMORY CARDS

Examiner: Unassigned

Group: 2124

Date: April 19, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56 AND 1.97(c)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

APR 2 3 2004

Technology Center 2100

Dear Sir:

The references listed in the attached PTO Form 1449 copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding foreign patent application. A copy of the foreign Search Report which was dated March 17, 2004, is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

CERTIFICATION

The undersigned hereby certifies that the references enclosed herewith were first cited in an international search report from the PCT searching authority less than three months before the filing date of the present Information Disclosure Statement. Should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 50-1652 (Order No. SANDP011).

Respectfully submitted,

Peggy A. Su

Reg. No. 41,336

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Form 1449 (Modified)

Atty Docket No. SANDP011

Application No.: 10/005,740

Information Disclosure Statement By Applicant Inventor CHA

CHANG, Robert C. et al.

Group

2124

(Use Several Sheets if Necessary) Filing Date
Mailing Date

November 6, 2001

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April 19, 2004

U.S. Patent or Published Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- Class	Filing Date

Foreign Patent or Published Foreign Patent Application

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Examiner		Document No.	Publication	Country or		Sub-		slation
Initial	No.		Date	Patent Office	Class	class	Yes	No
	A	WO 00/67132	11/9/2000	WIPO				
	В	WO 01/78020	10/18/2001	WIPO	DEGE			
	С	EP 1003099A2	5/24/2000	EPO	REGE	AED		ļ
	D	EP 1058450A1	12/6/2000	EPO	APR 23	2004		
	Е	DE 20109810U1	6/13/2001	DE	MIN Z3	2004	<u> </u>	

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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	F	"Smart Card Devices and Applications" January 2001,
	ļ	http://www.netcaucus.org/books/egov20, page 6, lines 2-7, paragraph 6
	G	ISO/IEC 7816 Part 4: Interindustry Command for Interchange,
		http://www.ttfn.net/techno/smartcards, pgs.1-36

Examiner	Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.